



Lightweight Load Balancing Service

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Preface

About the Object Management Group

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- CORBA Component Model (CCM)

Platform Specific Model and Interface Specifications

- CORBA services

- CORBA facilities
- OMG Domain specifications
- OMG Embedded Intelligence specifications
- OMG Security specifications

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The type styles shown below are used in this document to distinguish programming statements from ordinary English. However, these conventions are not used in tables or section headings where no distinction is necessary.

Times/Times New Roman - 10 pt.: Standard body text

Helvetica/Arial - 10 pt. Bold: OMG Interface Definition Language (OMG IDL) and syntax elements.

Courier - 10 pt. Bold: Programming language elements.

Helvetica/Arial - 10 pt: Exceptions

Note – Terms that appear in *italics* are defined in the glossary. Italic text also represents the name of a document, specification, or other publication.

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1 Scope

The concepts at the foundation of the Lightweight Load Balancing Service are in a sense minimal and general, thus providing a good abstraction for many different platforms. The key features provided by the service can be divided in the following categories.

Group Management. The management of server replicas leverages from existing OMG standards, and thus relies on the Portable Group.

Load Balancing Service. The Lightweight Load Balancing Service provides a framework for, per-request, static load balancing. The standard allows the definition of user defined load balancing strategies, while requiring complying implementations to provide at least the round robin and random strategies. This service provides a façade for managing load balancing strategies, along with the creation of load balancing groups. It is worth mentioning that some implementations might choose to implement the Load Balancing Service and the Group Management by means of a single entity, as outlined in Figure 7.1, however, this specification intentionally decouples the role of group manager from that of the load balancing service, as they really have different roles.

Load Balancing Strategies. Load balancing strategies implement the logic for selecting which server replica has to serve the next client request. This standard provides APIs for static load balancing only, however there is nothing in the current API that inhibits future extensions that might include adaptive load balancing.

2 Compliance

2.1 Compliance Levels

This is a CORBA Service specification that forms a single optional conformance point that operates within the context of a conforming CORBA implementation.

3 Normative References

The following normative documents contain provisions which, through reference in this text, constitute provisions of this specification. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply.

- [CORBA] Common Object Request Broker Architecture: Core Specification, OMG, V3.0.3 (formal/04-03-12).
- [DPC] Data Parallel CORBA Specification, OMG, (formal/06-01-03)

4 Terms and Definitions

4.1 General Definitions

- Architecture Board (AB) - The OMG plenary that is responsible for ensuring the technical merit and MDA-compliance of RFPs and their submissions.

- Board of Directors (BoD) - The OMG body that is responsible for adopting technology.
- Common Object Request Broker Architecture (CORBA) - An OMG distributed computing platform specification that is independent of implementation languages.
- Common Warehouse Metamodel (CWM) - An OMG specification for data repository integration.
- CORBA Component Model (CCM) - An OMG specification for an implementation language independent distributed component model.
- Interface Definition Language (IDL) - An OMG and ISO standard language for specifying interfaces and associated data structures.
- Letter of Intent (LOI) - A letter submitted to the OMG BoD's Business Committee signed by an officer of an organization signifying its intent to respond to the RFP and confirming the organization's willingness to comply with OMG's terms and conditions, and commercial availability requirements.
- Mapping - Specification of a mechanism for transforming the elements of a model conforming to a particular metamodel into elements of another model that conforms to another (possibly the same) metamodel.
- Metadata - Data that represents models. For example, a UML model; a CORBA object model expressed in IDL; and a relational database schema expressed using CWM.
- Metamodel - A model of models.
- Meta Object Facility (MOF) - An OMG standard, closely related to UML, that enables metadata management and language definition.
- Model - A formal specification of the function, structure and/or behavior of an application or system.
- Model Driven Architecture (MDA) - An approach to IT system specification that separates the specification of functionality from the specification of the implementation of that functionality on a specific technology platform.
- Normative - Provisions that one must conform to in order to claim compliance with the standard. (as opposed to non-normative or informative which is explanatory material that is included in order to assist in understanding the standard and does not contain any provisions that must be conformed to in order to claim compliance).
- Normative Reference - References that contain provisions that one must conform to in order to claim compliance with the standard that contains said normative reference.
- Platform - A set of subsystems/technologies that provide a coherent set of functionality through interfaces and specified usage patterns that any subsystem that depends on the platform can use without concern for the details of how the functionality provided by the platform is implemented.
- Platform Independent Model (PIM) - A model of a subsystem that contains no information specific to the platform, or the technology that is used to realize it.
- Platform Specific Model (PSM) - A model of a subsystem that includes information about the specific technology that is used in the realization of it on a specific platform, and hence possibly contains elements that are specific to the platform.
- Request for Information (RFI) - A general request to industry, academia, and any other interested parties to submit information about a particular technology area to one of the OMG's Technology Committee subgroups.
- Request for Proposal (RFP) - A document requesting OMG members to submit proposals to the OMG's Technology Committee. Such proposals must be received by a certain deadline and are evaluated by the issuing task force.

- Task Force (TF) - The OMG Technology Committee subgroup responsible for issuing a RFP and evaluating submission(s).
- Technology Committee (TC) - The body responsible for recommending technologies for adoption to the BoD. There are two TCs in OMG - Platform TC (PTC), that focuses on IT and modeling infrastructure related standards; and Domain TC (DTC), that focus on domain specific standards.
- Unified Modeling Language (UML) - An OMG standard language for specifying the structure and behavior of systems. The standard defines an abstract syntax and a graphical concrete syntax.
- UML Profile - A standardized set of extensions and constraints that tailors UML to particular use.
- XML Metadata Interchange (XMI) - An OMG standard that facilitates interchange of models via XML documents.

4.2 Definitions Specific to this Document

- Load Balancing Service - A CORBA service, defined by this specification, which takes care of distributing incoming requests across a set of server replicas. The distribution logic is specified by means of a Load Balancing Strategy, which might be user defined.
- Load Balancing Strategy - Represents an algorithm used to choose the server replica which will execute the current request. Possible strategies include, round robin, random, etc.

5 Acronyms and Abbreviations

| | |
|-------|--|
| CORBA | Common Object Request Broker Architecture |
| HTTP | HyperText Transfer Protocol |
| LB | Load Balancing |
| MOF | Managed Object Format (not to be confused with Meta Object Facility) - Textual notation used by DMTF to represent CIM models |
| OMG | Object Management Group |
| RFP | Request For Proposal |
| UML | Unified Modeling Language |
| XML | eXtensible Mark-up Language |

6 Acknowledgements

The specification is submitted by:

- SELEX SI

7 Overview

The need for distributing incoming requests across a set of servers, to share incoming load, appears in many application domains, such as web servers, enterprise information systems, as well as mission and safety critical applications such as Air Traffic Control Systems. While the high level goal-distributing load is common across all the application domains mentioned above, there are few differences in terms of non-functional requirements, support for dynamic vs. static features that have made it very difficult, so far, to agree on a standard Load Balancing Service.

This document's goal is to fill this specification gap by (1) standardizing a minimal set of interfaces for per request, static load balancing, relevant to as many application domains as possible, and (2) allowing different implementation strategy, to make it possible to accommodate the different non functional requirements that characterize the application domains aforementioned.

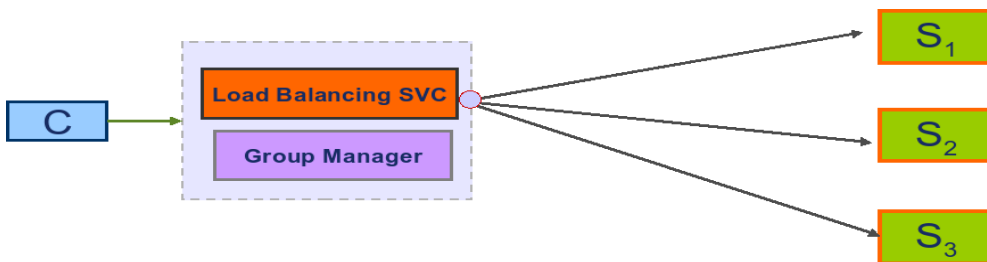


Figure 7.1 - Centralized Load Balancing Service

As an example, some application domains are not so much concerned with availability and performance, and might decide to implement this service as a stand-alone server (see Figure 7.1), incurring thus in the overhead of a remote CORBA call for each load balancing request. Other, will have to cope with stringent availability and performance requirements and might decide to implement the service as a federation of co-located load balancing service (see Figure 7.2). The current document leaves room for different implementation architectures as well as techniques (e.g., interceptors vs. locators).

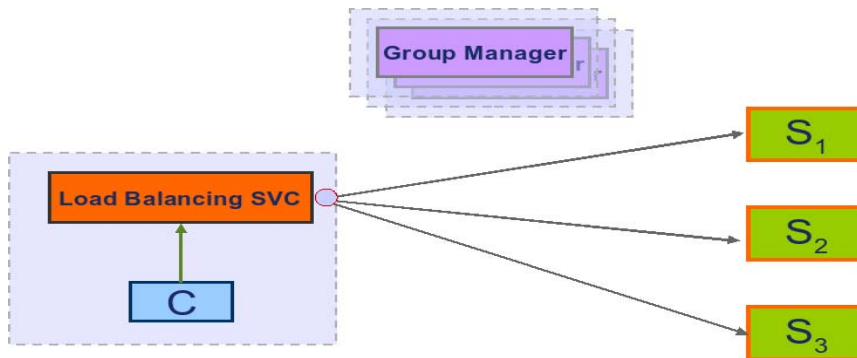


Figure 7.2 - Distributed Load Balancing Service

Finally, it is worth mentioning that the current document represents an effort carried by the CORBA users and vendors communities to define a minimal set of APIs that would guarantee application portability, while allowing vendors to exploit different implementation strategies. Moreover, it has been consciously decided not to impose interoperability across implementations as this was perceived as a potential limiting factor with respect to the specification adoption.

8 Platform Independent Model (PIM)

This chapter provides a description of the Lightweight Load Balancing Service PIM.

8.1 Packages Organization

The Lightweight Load Balancing Service is organized as a single package with a dependency to the Portable Group for managing load balanced replicas.

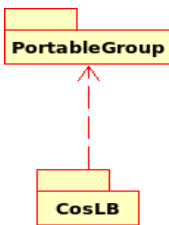


Figure 8.1 - Package

8.2 Group Management

Figure 8.2 shows the UML diagram for the Lightweight Load Balancing Service group manager. As shown in the diagram, the LBGroupManager does not provide new methods for the creation of load balanced replicas, instead it relies on the existing mechanism provided by Criteria for specifying the scheduling policy to be associated with the group. The following convention shall be used to specify the load balancing strategy:

- Property::nam[0]::id shall always be set to “LBStrategy”
- Property::nam[0]::kind is ignored and should be set to ""
- Property::val shall be a valid strategy name. This specification defines “ROUND_ROBIN” and “RANDOM.” Other strategies might be defined by the user.

When no criteria is provided the default load balancing strategy is the ROUND_ROBIN.

ROUND_ROBIN: means order invocation will be performed on each replica in a circular order.

RANDOM: means order invocation is not predictable.

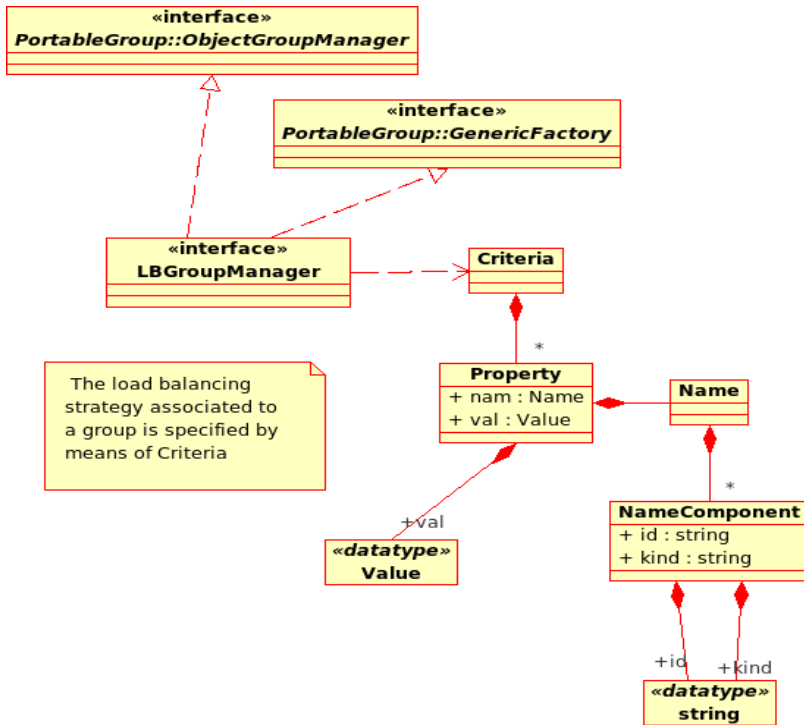


Figure 8.2 - LB Group Management

Finally, it is worth mentioning that in order to take advantage of the load balancing application it shall invoke requests to the set of load balanced replicas by means of the ObjectGroup reference returned by the LBGGroupManager.

8.3 Load Balancing Service

The LoadBalancingService interface provides a façade for managing load balancing strategies, along with the creation of load balancing groups (see Figure 8.3).

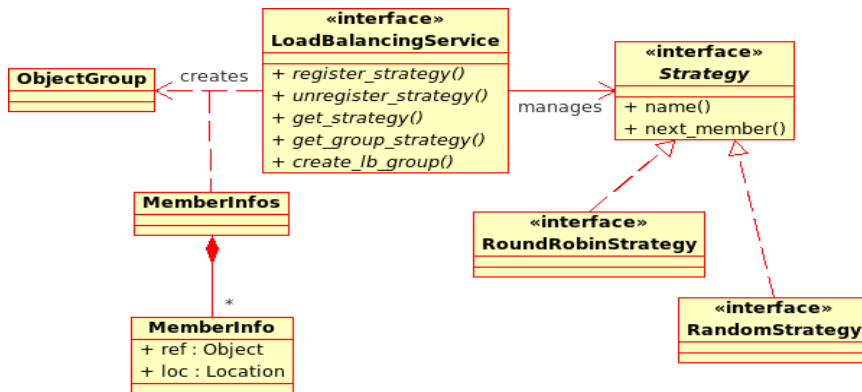


Figure 8.3 - Load Balancing Service

8.3.1 LoadBalancingService Class

This section provides a detailed description for the operations supported by the LoadBalancingService interface.

8.3.1.1 LoadBalancingService

Operations

1. create_lb_group

Creates a group of load balanced replicas. This operation encapsulates the facilities provided by the LBGroupManager.

Return Value

PortableGroup::ObjectGroup

Parameters List

| | |
|--------------|--------------------------------------|
| members | MemberInfoSeq |
| gid | PortableGroup::ObjectGroupId |
| type_id | string |
| lb_domain_id | string |
| lb_strategy | string |
| ref_version | PortableGroup::ObjectGroupRefVersion |

Exceptions

PortableGroup::ObjectNotCreated

2. get_group_strategy

Get the strategy associated with a load balanced group.

Return Value

Strategy

Parameters List

| | |
|---|----------------------------|
| g | PortableGroup::ObjectGroup |
|---|----------------------------|

Exceptions

InvalidObjectGroup

3. get_strategy

Get the strategy object resolved by a given name.

Return Value

Strategy

Parameters List

| | |
|------|--------|
| name | string |
|------|--------|

Exceptions

UnkownStrategy

4. register_strategy

Register a new load balancing strategy.

Return Value

void

Parameters List

| | |
|----------|----------|
| strategy | Strategy |
|----------|----------|

Exceptions

StrategyAlreadyRegistered

5. unregister_strategy

Unregister a load balancing strategy.

Return Value

void

Parameters List

| | |
|------|--------|
| name | string |
|------|--------|

Exceptions

UnknownStrategy

create_lb_group

This method provides a simplified API for the creation of load balanced groups—under the hood the implementation is delegated to the LBGroupManager. The object references for the group member along with their locations are specified by means of MemberInfoSeq.

get_group_strategy

This method returns the strategy associated with a group reference, or throws an exception if the group reference is not associated with a load balancing group.

get_strategy

This method resolves a strategy given its name. If the strategy name is not known, an exception is raised.

register_strategy

This method registers a new strategy with the load balancing service. This method can be used to provide user defined load balancing strategies.

unregister_strategy

This method removes a previously registered strategy. It is worth pointing out that any attempt to remove pre-defined load balancing strategies, such as ROUND_ROBIN and RANDOM will result in an LBGroupManager exception.

8.4 Load Balancing Strategy

The logic used to select replicas across client request invocation is controlled by means of strategy objects (see Figure 8.4). The Strategy interface, described below, provides a means for controlling the selection logic, as well as plugging in user-defined behavior.

8.4.1 Strategy Class

The following table provides a description for the operations supported by the LoadBalancingService interface.

8.4.1.1 Strategy

Attributes

name

string

Operations

next_member

Return the next server that will serve the request at hand.

Return Value

object

Parameters List

| | |
|--------------|----------------------------|
| object_group | PortableGroup::ObjectGroup |
|--------------|----------------------------|

name

The readonly attribute name, provides a means for accessing the load balancing strategy's name.

next_member

This method actually executes the load balancing logic encapsulated by the strategy so to provide the next target replica to serve the client request at hand.

8.4.2 Strategy Invocation

This specification requires that the strategy is called at every client request performed on a group of load balancing nodes. However, it does not prescribe the mechanism to be used to invoke the Strategy while performing a call to a server object. Some implementations might rely on mechanisms such as interceptors, while others might rely on other facilities.

The requirement set by this specification is that, however the strategy is called, an ObjectGroup, previously created by using the load balancing service, is provided to the strategy. As an example for the reader, Figure 8.4 provides an example in which interceptors are used to implement the service.

An exception could be received if load balanced server is not available. The behavior related to exception management is implementation based.

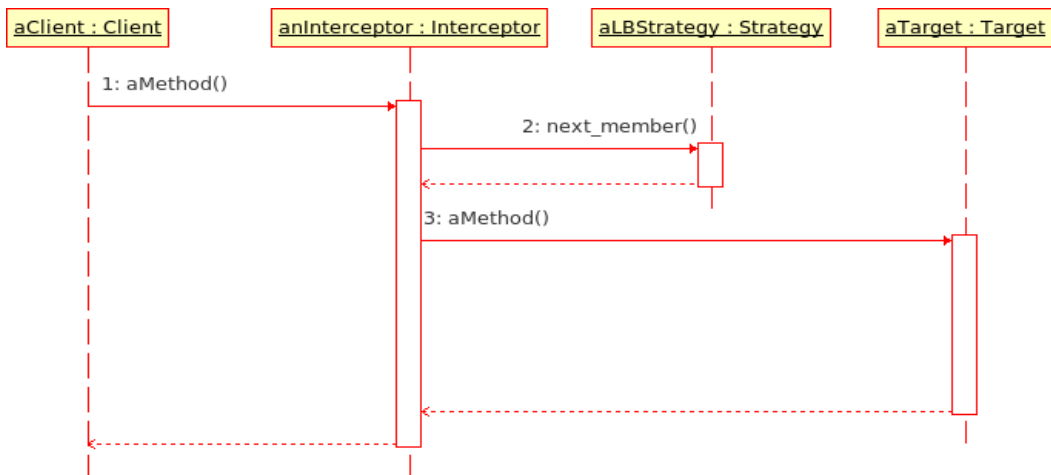


Figure 8.4 - Load Balanced Request

9 Platform Specific Model (PSM)

9.1 Mapping Rules

This specification currently foresees only a CORBA-based PSM. Thus the mapping rules are rather straightforward as most of the types described in the PIM have a direct IDL mapping. The consolidated IDL describing the CORBA PSM is provided in the following section.

Concerning with bootstrapping, this specification requires that the `LBGroupManager` as well as the `LoadBalancingService` interface are made available by means of `resolve_initial_references`. To this end, the `ObjectId` “`LBGroupManager`” is reserved for bootstrapping the `LBGroupManager` object, and the “`LoadBalancingService`” `ObjectId` is reserved for bootstrapping the `LoadBalancingService` object.

9.2 Consolidated IDL

```
#ifndef _OMG_ORG_COS_LB_
#define _OMG_ORG_COS_LB_

#include <PortableGroup.idl>

#pragma prefix "omg.org"

module CosLB {

    struct MemberInfo {
        Object    the_reference;
        PortableGroup::Location the_location;
    };

    typedef sequence<MemberInfo> MemberInfoSeq;

    interface LBGroupManager :
        PortableGroup::GenericFactory,
        PortableGroup::ObjectGroupManager
    {

    };

    interface Strategy
    {
        readonly attribute string name;
        Object next_member(in PortableGroup::ObjectGroup object_group);
    };

    exception UnknownStrategy {};
    exception StrategyAlreadyRegistered {};
    exception InvalidObjectGroup {};

    interface LoadBalancingService {
```

```

void register_strategy(in Strategy s)
    raises(StrategyAlreadyRegistered);

void unregister_strategy(in string name)
    raises(UnknownStrategy);

Strategy get_strategy(in string name)
    raises(UnknownStrategy);

Strategy get_group_strategy(in PortableGroup::ObjectGroup og)
    raises(InvalidObjectGroup);

PortableGroup::ObjectGroup
create_lb_group(in MemberInfoSeq members,
               in PortableGroup::ObjectGroupId object_group_id,
               in string type_id,
               in string lb_domain_id,
               in string lb_policy,
               inout PortableGroup::ObjectGroupRefVersion ogrv)
    raises(PortableGroup::ObjectNotCreated);
};

};
#endif /* _OMG_ORG_COS_LB_ */

```


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